



# **Secretary of Defense Report**

---

**October 1, 2001 – March 31, 2002**

**This report fulfills the requirements of the Inspector General Act of 1978, as amended, 5 U.S.C., Appendix 3, Section 5(b).**

## **FOREWORD**

Section 5(b) of the Inspector General Act of 1978, as amended, requires that “Semiannual reports of each Inspector General shall be furnished to the head of the establishment involved not later than April 30 and October 31 of each year and shall be transmitted by such head to the appropriate committees or subcommittees of the Congress within thirty days after receipt of the report, together with a report by the head of the establishment.”

Section 5(b) requires the report by the head of the establishment to report information in the following four general categories:

- (1) any comments such head determines appropriate;
- (2) statistical tables showing the total number of audit reports and the dollar value of disallowed costs, for audit reports;
- (3) statistical tables showing the total number of audit reports and the dollar value of recommendations that funds be put to better use by management agreed to in a management decision, for audit reports; and
- (4) a statement with respect to audit reports on which management decisions have been made but final action has not been taken, other than audit reports on which a management decision was made within the preceding year.

## FOLLOWUP STATUS REPORT\*

<b>STATUS OF ACTION ON CENTRAL INTERNAL AUDITS</b> <b>Period ending March 30, 2002</b> <b>(\$ in thousands)</b>		
<b>Status</b>	<b>Number</b>	<b>Funds Put to Better Use<sup>1</sup></b>
OIG DoD		
Action in Progress - Beginning of Period	326	\$5,730
Action Initiated - During Period	80	312,438
Action Completed - During Period	95	611,296 <sup>2</sup>
Action in Progress - End of Period	311	57,730 <sup>3</sup>
Military Departments		
Action in Progress - Beginning of Period	477	1,726,808
Action Initiated - During Period	117	1,384,776
Action Completed - During Period	102	597,115
Action in Progress - End of Period	492	2,682,997
1. There were no OIG DoD audit reports issued during the period involving “disallowed costs.” 2. Included are recouped questioned costs of \$168 thousand. There were no reports completed with agreed-upon monetary benefits for which the related recommendations were not implemented. 3. On certain reports (primarily from prior periods) with audit estimated monetary benefits of \$1,370 million, we agreed that the resulting monetary benefits can only be estimated after completion of management action, which is ongoing.		

\* Fulfills requirements of the Inspector General Act of 1978, as amended, 5 U.S.C., Appendix 3, Section 5(b)(2) & (3).

<b>STATUS OF OIG DOD REPORTS OVER 12 MONTHS OLD WITH FINAL ACTION PENDING <sup>1, 2</sup> (As of March 30, 2002)</b>			
<b>Report Number/Title/Date</b>	<b>Description of Action</b>	<b>Reason Action Not Completed</b>	<b>Principle Action Office</b>
<b>90-074</b> , Reserve Components Common Personnel Data System, 5/25/1990	Finalize an agreement and implement a process to assess and collect penalties associated with Reserve members' education benefits.	Lack of management emphasis and actions complicated by need to coordinate with Veterans Affairs.	ASD(RA)
<b>91-055</b> , Pricing and Billing of Stinger Missiles Sold to Foreign Military Sales (FMS) Customers, 2/27/1991	Revision to Volume 15 of the Financial Management Regulation (FMR) on the calculation of packing, crating and handling costs for FMS.	Lack of management emphasis.	USD(C)
<b>93-118</b> , Quality Assurance for Organic Depot Maintenance of Aircraft, 6/21/1993	Make improvements to quality assurance program procedures and tracking systems.	Lack of management emphasis.	AF
<b>93-163</b> , Procurement Prices Paid on Aircraft Weapon Systems for Foreign Military Sales, 9/2/1993	Revise Volume 15 of the Financial Management Regulation to clarify the special provisions for Research, Development, Test & Evaluation.	Lack of management emphasis.	USD(C)
<b>93-164</b> , Financial Statements of the Defense Logistics Agency Supply Management Division of the Defense Business Operations Fund (Defense Fuel Supply Financial Data) for FY 1992, 9/2/1993	In continuing efforts to provide accurate data for accounts receivable and payable, the DLA Business System Modernization will replace the Defense Business Management System, in addition to replacing the Standard Material Management System and the Defense Integrated Subsistence Management System.	Lack of management emphasis.	DFAS
<b>94-054</b> , Fund Control Over Contract Payment at the Defense Finance and Accounting Service - Columbus Center, 3/15/1994	Improve fund control over contract payments.	Lack of management emphasis.	DFAS

<sup>1</sup> Fulfills requirements of the Inspector General Act of 1978, as amended, 5 U.S.C., Appendix 3, Section 5(b)(4).

<sup>2</sup> For this reporting period, there are no disallowed costs on reports over 12 months old with final action pending. One report, Report No. D-2001-015, has \$52.7 million of funds to be put to better use that have been agreed upon, but have not yet been achieved.

<b>94-062</b> , Financial Status of Air Force Expired Year Appropriations, 3/18/1994	Changes to policy guidance to include refunds receivable arising from matters in litigation.	Lack of management emphasis.	USD(C)
<b>94-073</b> , Defense Finance and Accounting Service Work on the Air Force FY 1992 Financial Statements, 3/31/1994	Develop transaction-driven general ledger system.	Frequently changed the system concept and the milestones were too optimistic.	DFAS
<b>94-185</b> , Anti-deficiency Act Investigation of Real Property Maintenance, Defense FY 1993 Supplemental Appropriation, 9/9/1994	Report using the complete appropriation account numbers assigned by the Dept. of Treasury.	Lack of management emphasis.	DFAS
<b>95-025</b> , Distribution of Proceeds from the Sale of Reimbursable Scrap Material, 11/8/1994	Revision to regulation, regarding the recoupment of selling expenses before distributing sales proceeds.	Lack of management emphasis.	DLA
<b>95-238</b> , Administrative Lead Time at DoD Inventory Control Points, 6/15/1995	Automate methodology for determining administrative lead time in the Purchase Request Process System.	Lack of management emphasis.	AF
<b>95-292</b> , Tactical Intelligence Dissemination Systems and Radios, 8/17/1995	Standardization of message reporting formats.	Extended contract initiative involving coordination and reconciliation of complex requirements.	AF, ASD(C3I)
<b>95-303</b> , Management of Common Use Repairable Items in the Department of Defense, 9/1/1995	Establish procedures to improve Primary Inventory Control Activities asset visibility over Secondary Inventory Control Activities to avoid purchases of materiel already in stock.	Lack of management emphasis.	AF, MC
<b>96-029</b> , Inspection of the Department of Defense Joint Manpower Process, 11/29/1995	Issue joint regulation on manpower management.	Personnel reductions.	USD(P&R)
<b>96-040</b> , Congressionally Directed Rebates in Defense Finance and Accounting Service Cost Recovery Rates, 12/11/1995	Changes to the Financial Management Regulation policy guidance to address the situation where a component under the Defense Business Operating Fund (DBOF) provides a congressionally directed rebate, but fails to achieve congressionally directed savings, thereby resulting in a loss to the DBOF.	Lack of management emphasis.	USD(C)

<b>96-075</b> , Management and Administration of the United States Air Force Academy, 2/23/1996	Clarification needed on whether the Air Force Academy Athletic Association is a Morale, Welfare, and Recreation activity. Also, required A-76 studies of supply services and civil engineering are needed.	Lack of management emphasis.	AF
<b>96-086</b> , Evaluation Report on the Status of Resources and Training System, 3/15/1996	JS in coordination with the Unified Commands, Military Departments, and Defense Information Systems Agency are developing a formal comprehensive action plan to fix deficiencies in the Status of Resources and Training System.	Complex automation issues.	JS
<b>96-088</b> , Inventory at the Defense Mapping Agency, 3/26/1996	Ensure that inventory valuations are based on historical costs, and that unit costs are adjusted in the records for products when reproductions are made that change the unit cost.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	NIMA
<b>96-134</b> , Vendor Payments - Operation Mongoose, 5/30/1996	Standardize the contract and payment data formats for the Defense Finance and Accounting Service vendor payments system. Also, implement a system change that will retain a copy of all changes and deletions of vendor payment records that produce payments and ensure that access to those data is fully safeguarded.	Lack of management emphasis.	DFAS
<b>96-141</b> , Overdisbursed and Unreconciled DoD Contracts at the Defense Finance and Accounting Service, Columbus Center, 6/4/1996	Reduce backlog of unreconciled contracts to the equivalent of six months work.	Lack of management emphasis.	USD(C), DFAS
<b>96-156</b> , Implementation of the DoD Plan to Match Disbursement to Obligations Prior to Payment, 6/11/1996	Implement system changes to correct weaknesses in the automated prevalidation process.	Lack of management emphasis.	DFAS
<b>96-168</b> , DoD Graduate Medical Education Programs and Medical Readiness Training, 6/18/1996	Development of an automated system to record medical readiness training and monitor physician readiness.	Extensive time needed to develop automated system, exacerbated by funding shortfalls.	ASD(HA)
<b>96-195</b> , Engine Monitoring Systems for Jet Aircraft Engines, 7/17/1996	A working group is tasked to develop accounting methods for maintenance costs related to engine monitoring systems.	Initial action has been redirected and revised action is on schedule.	AF

<b>96-213</b> , Financial Accounting at the National Security Agency, 8/20/1996	Update financial management system.	Lack of management emphasis.	NSA
<b>97-014</b> , Controls Over the Return of Repairable Assets, 11/1/1996	Establishment of standard reporting procedures for contractors to report receipt transactions for repairable assets.	Lack of management emphasis.	Army
<b>97-021</b> , Bulk Petroleum War Reserves for U.S. Forces Korea, 11/6/1996	Implement improvements in petroleum contingency planning.	Lack of management emphasis. Actions complicated by personnel and funding shortfalls and extended time needed to negotiate with host nation for support.	USFK
<b>97-062</b> , Consistency in Reporting the Expense Account Line Items of the Defense Business Operations Fund (DBOF), 1/7/1997	Revise FMR policy guidance on reimbursable operations of the DBOF to conform to OMB Bulletin No. 94-01.	OMB guidance changed.	USD(C)
<b>97-077</b> , United Nations (UN) Reimbursement for DoD Troop Contributions, 1/21/1997	Determine cost elements to be included in incremental costs, and revise the FMR on the processing of UN reimbursements.	Lack of management emphasis.	USD(C)
<b>97-087</b> , Evaluation of the Direct Sale of Recyclable Material, 2/4/1997	Develop a "Combined Services Guide for Qualified Recycling Programs" guide.	Extended time needed to coordinate policy.	USD(AT&L)
<b>97-100</b> , Asset Presentation on Military Department General Fund Financial Statements, 2/25/1997	Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.	Lack of management emphasis.	USD(C)
<b>97-112</b> , Air Mobility Command (AMC) Financial Reporting of Property, Plant, and Equipment (PP&E), 3/19/1997	Army is to develop a methodology for keeping PP&E current and providing accurate and useful information to DFAS for preparation of financial statements.	Lack of management emphasis.	TRANSCOM
<b>97-114</b> , Award and Administration of Contracts, Grants, and Other Transactions Issued by the Defense Advanced Research Projects Agency, 3/28/1997	Include provisions in "other transactions" allowing their costs to be audited by the Government.	Extensive time is needed to coordinate and issue policy guidance.	USD(AT&L)

<b>97-134</b> , Disposal of Munitions List Items in the Possession of Defense Contractors, 4/22/1997	Change regulations to advance identification of munitions list items to the early stages of the acquisition process.	Extended time needed to coordinate policy.	USD(AT&L)
<b>97-138</b> , Requirements Planning and Impact on Readiness of Training Simulators and Devices, 4/30/1997	Revision of DoD Directive 1430.13 and other policy to evaluate the effectiveness of simulation training.	Completion of overarching policy has delayed final approval of DoDD 1430.13.	USD(P&R)
<b>97-154</b> , Functional Transfer of Navy Base Communications Offices, 6/11/1997	Complete consolidation of all base communications offices under Naval Computer and Telecommunications Command.	Extended time needed to negotiate agreements on transfer of resources necessary to accomplish the consolidation.	Navy
<b>97-175</b> , Management of the DoD Personal Property Shipment and Storage Program, 6/23/1997	Improve management systems and controls used for managing DoD Personal Property Shipment and Storage Program.	Alternative management action being implemented and proceeding on schedule.	MTMC
<b>97-187</b> , Communications Capability Within the DoD to Support Two Major Regional Conflicts Nearly Simultaneously, 7/14/1997	Revise the Joint Operation and Planning and Execution System to include command and control and non-command and control communications requirements.	Lack of management emphasis.	JS
<b>97-203</b> , Application Controls Over the Defense Joint Military Pay System Reserve Component, 8/15/1997	Implement system software changes to include consistency checks and restricted use of generic components of pay codes.	Delayed due to legislative requirements and other higher priority software changes.	DFAS
<b>97-211</b> , Management of the US Army Center for Military History Exchange-for-Services Agreement, 8/27/1997	Settlement of liability to contractor is being negotiated.	Actions pending due to Department of Justice civil fraud investigation against the contractor.	Army
<b>97-213</b> , Evaluation of the Disposal of Munitions Items, 9/7/1997	Develop standard DoD-wide guidance for the entire munitions scrap disposal process. Conduct compliance inspections/risk assessments at key bases.	Implementation was originally delayed by lack of management emphasis. Now that actions are in process, extended time is needed to coordinate and issue policy.	USD(AT&L), Army, Navy
<b>97-214</b> , Live-Fire Test and Evaluation of Major Defense Systems, 9/9/1997	Revise regulations to provide guidance on requesting waivers and on recommending programs for testing.	Extended time to reissue guidance complicated by reengineering effort to revamp the Air Force acquisition process.	AF



<b>97-227</b> , Foreign Military Sales Administrative Surcharge Fund, 9/30/1997	Revise FMR Vol 15 regarding allocation of administrative surcharges. Army is to incorporate changes into its electronic timekeeping system to allow tracking of time expended for foreign military sales tasks.	Lack of management emphasis.	USD(C), DFAS, Army
<b>98-001</b> , Evaluation of the Department of Defense Pollution Prevention Program, 10/3/1997	Improve plans and pollution prevention procedures and training.	Action was delayed to ensure compliance with an Executive Order issued in April 2000. Extended time now needed for the development and review of installation plans.	Army
<b>98-006</b> , DoD Family Housing Requirements Determination, 10/8/1997	Development of a standardized requirements determination process.	Overcoming competing interests/issues.	USD(AT&L), AF, USD(P&R), USD(C)
<b>98-010</b> , Special Access-Like Programs, 10/17/1997	Special Operations Command/JS implementation of security changes and issuance of a JS manual.	Lack of management emphasis and additional requirements.	JS, ASD(C3I)
<b>98-022</b> , Reporting of Contract Holdbacks on the DoD Financial Statements, 11/17/1997	Obtain clarification, from OMB, on applicable guidance regarding presentation of assets and liabilities in the financial statements and include that guidance in the Financial Management Regulation.	Lack of management emphasis.	USD(C)
<b>98-023</b> , Implementation of the DoD Joint Technical Architecture (JTA), 11/18/1997	Develop methodology for cross-service and cross-functional coordination of DoD component JTA implementation plans.	Extended time needed to coordinate and issue policy.	ASD(C3I)
<b>98-025</b> , Management and Administration of International Agreements in the Department of Defense, 11/19/1997	Establish better management controls for international defense support agreements.	Lack of management emphasis.	USD(P)
<b>98-049</b> , DoD Sensitive Support Focal Point System, 1/20/1998	USD(P) issuance of the revised DoD Directive S-5210, "DoD Sensitive Support System" for policy clarification.	Emergent priorities and staff shortage.	USD(P)
<b>98-052</b> , Defense Logistics Agency Past Due Federal Accounts Receivable, 1/22/1998	Revise accounting policy regarding collection action on uncollectible receivables from other federal government entities.	Lack of management emphasis.	USD(C)
<b>98-063</b> , Defense Logistics Agency Product Quality Deficiency Program, 2/5/1998	Revisions to DLA Instruction 4155.24, "Quality Assurance Program for DLA Inventory Control Points".	Extended time needed to coordinate and issue policy.	DLA

<b>98-067</b> , Access Reciprocity Between DoD Special Access Programs, 2/10/1998	Standardize Special Access Program (SAP) eligibility implementing criteria and develop a centralized SAP database.	Extended time needed to issue coordinated policy. Also, other corrective actions were outside DoD's span of control.	ASD(C3I), Army, & AF
<b>98-073</b> , Defense Finance and Accounting Service Work on the Navy General Fund FY 1996 Financial Statements, 2/12/1998	DFAS will establish a systematic methodology for determining allowances for uncollectible accounts receivable. Also, DFAS will distribute guidance for estimating uncollectible accounts receivable to the operating locations and internally to the accounting directorates.	Lack of management emphasis.	DFAS
<b>98-083</b> , Defense Manufacturing Technology Program, 2/25/1998	Revisions to DoDI 4200.15, "Manufacturing Technology Program" and a new charter for the Joint Defense Manufacturing Panel are needed.	Extended time needed to coordinate and issue policy.	USD(AT&L)
<b>98-085</b> , Joint Contracting for Depot Maintenance of Secondary Items, 3/4/1998	Update DoDD 4151.18 to include use of corporate contracting.	Alternative action is being taken and is on schedule.	USD(AT&L)
<b>98-100</b> , Fund Balance With Treasury Account in the FY 1996 Financial Statements of the Defense Business Operations Fund, 4/2/1998	Revise FMR policy and procedures for reporting undistributed balances and implement procedures required by the FMR.	Analysis of requirements and development of effective formats were more complex than anticipated.	DFAS, USD(C)
<b>98-116</b> , Accounting for Defense Logistics Agency Supply Management Receivables, 4/20/1998	Revise procedures for handling accounts receivable. Implement standard general ledger in accounting systems.	Lack of management emphasis.	DFAS
<b>98-124</b> , Department of Defense Adjudication Program, 4/27/1998	Standardize forms; implement peer review program and professional certification for adjudicators; and improve use of Defense Clearance Investigation Index.	Extended time needed to issue a coordinated policy.	ASD(C3I)
<b>98-125</b> , Protection of the Comanche Helicopter Against Radio Frequency Weapons, 4/28/1998	Program Office to assess threat projection and perform a risk assessment.	Lack of management emphasis.	Army
<b>98-134</b> , Payroll Expenses Reported by the DFAS, Columbus Center, 5/14/1998	Account for operating expenses in individual (versus summary) general ledger account codes.	Lack of management emphasis.	DFAS

<b>98-139</b> , Financial Statement Presentation of DoD Progress Payments, 5/27/1998	Clarify accounting guidance for the reporting of progress payments to ensure consistent presentation by the DFAS Centers on Military Departments General Fund financial statements and future DoD consolidated statements.	Lack of management emphasis.	USD(C)
<b>98-141</b> , DoD Contract Ship Fuels (Bunker Fuels) Acquisition Process, 5/28/1998	Develop an electronic means of measuring the volume of delivered fuel, and ensure that receipt data are government-controlled and government-certified.	Lack of management emphasis.	DLA, DFAS
<b>98-145</b> , U.S. Military Entrance Processing Command, 6/3/1998	Complete study of size and location of Military Entrance Processing Stations to make the organizational structure more streamlined and efficient and eliminate or reassign personnel where appropriate.	Delays in the agreed-upon U.S. Army Manpower Analysis Agency manpower requirement certification.	USD(P&R)
<b>98-151</b> , Internal Controls and Compliance with Laws and Regulations for the DFAS Working Capital Fund Financial Statements for FY 97, 6/12/1998	Process unfunded orders according to USD(C) guidance; select an accounting system for the DFAS Working Capital Fund that is compliant with OMB Circular A-127 and the DoD FMR.	Guidance changed; slow system fielding process.	DFAS
<b>98-154</b> , Acquisition of Medical Items, 6/15/1998	Evaluate costs in order to reduce customer prices and begin testing of Department of Veterans Affairs pricing arrangements, where appropriate, to maximize savings.	Long term action is on schedule.	DLA
<b>98-155</b> , Depot Source of Repair (DSOR) Code, 6/15/1998	Draft manual on DSOR process. Major revision necessitated by changes in cataloging systems.	Extended time needed to coordinate policy.	AF
<b>98-157</b> , Updating the Foreign Disclosure and Technical Information System (FORDTIS), 6/17/1998	Deputy Chief of Staff for Intelligence will monitor actions taken by major commands to comply with FORDTIS reporting requirements.	Lack of management emphasis.	USD(P)
<b>98-160</b> , Management Oversight of the Capabilities-Based Munitions Requirements Process, 6/22/1998	Develop specific written procedures and processes to comply with DoD Instruction 3000.4.	The Joint Staff could not initiate action until the delayed revision of DoDI 3000.4 was completed in August 2001.	JS
<b>98-162</b> , Internal Controls and Compliance with Laws and Regulations for the Defense Information Systems Agency Working Capital Fund Financial Statements, 6/24/1998	Reconcile undistributed collections and disbursements of reporting entities with the Defense mega centers.	Lack of management emphasis.	DISA, DFAS

<b>98-164</b> , Internal Controls and Compliance with Laws and Regulations for the Defense Security Assistance Agency Financial Statements for FY 97, 6/25/1998	Procedures for obtaining expenditure authority before making FMS disbursements.	Difficulties in determining the final functionality and developing required testing.	DFAS
<b>98-170</b> , Army National Guard and US Army Reserve Command Small Arms Indoor Firing Ranges, 6/30/1998	Revise and issue Army Regulation 385-63 and Army Pamphlet 385-63 to include standards for testing, cleaning, and decontaminating indoor ranges.	Lack of management emphasis.	Army
<b>98-174</b> , Unit Chemical and Biological Defense Readiness Training, 7/17/1998	Improve emphasis and reporting on unit chemical and biological readiness.	Extended time needed to coordinate and issue policy.	AF
<b>98-181</b> , Financial Statements for the National Security Agency, 7/22/1998	Update accounting methods. Follow on to OIG Report No. 96-213.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	NSA
<b>98-198</b> , Allegations on the Gulf States Initiative Program, 9/9/1998	Management control reviews and review of potential Anti-Deficiency Act violation.	Investigator personnel changes slowed the investigation.	ASD(C3I)
<b>99-009</b> , Coordination of Electromagnetic Frequency Spectrum and International Telecommunications Agreements, 10/9/1998	Ensure coordination of communications systems with host nations and implement centralized management and oversight of international telecommunications agreements.	Lack of management emphasis.	ASD(C3I)
<b>99-014</b> , Compilation of the FY 1997 Financial Statements for "Other Defense Organizations" (ODO), 10/15/1998	Document and maintain complete audit trails for all year-end adjustments made to ODO trial balances.	Lack of DoD-wide use of a transaction-based standard general ledger has impacted implementation of actions.	DFAS
<b>99-023</b> , Procurement of Military Clothing and Related Items by Military Organizations, 10/29/1998	Investigate contracts for potential antideficiency Act violations arising from noncompliance with Buy American Act and Berry Amendment.	Complex legal issues.	Army, AF
<b>99-033</b> , Department of Defense Use of Pseudo Social Security Numbers, 11/12/1998	DFAS will modify the Defense Civilian Pay System, and follow up with the Social Security Administration (SSA) to identify all DoD W-2s recorded under pseudo SSA numbers; and will submit W-2Cs to the SSA.	Lack of management emphasis.	DFAS, USD(P&R)

<b>99-045</b> , Chemical and Biological Warfare Defense Resources in the U.S. Pacific Command, 12/31/1998	Improve management of resources for chemical and biological defense.	Alternative management action being implemented. Extended time needed to develop and coordinate joint operational requirements for a medical planning tool.	PACOM, Army
<b>99-047</b> , DoD Execution of the Role Specialist National Mission in Bosnia, 12/7/1998	Expand and promulgate guidance for the role of the specialist nation.	Extended time needed to coordinate and issue policy.	JS, EUCOM
<b>99-051</b> , Marine Corps Quantitative Munitions Requirements Process, 12/10/1998	Ammunition study initiated and new model under development.	Switch from in-house study to contractor has delayed completion.	MC
<b>99-075</b> , Acquisition of the SH-60R Light Airborne Multipurpose System Mark III Block II Upgrade, 2/2/1999	Develop and insert alternative plan for live fire test in the test and evaluation master plan, revise the operational requirements document and prepare the command, control, communications, computers, and intelligence support plan.	Restructuring of the program has caused delays.	Navy
<b>99-078</b> , Outsourcing of Defense Commissary Agency Operations, 2/5/1999	Perform an outsourcing study for accounting positions.	Extended time needed to schedule and conduct an outsourcing study.	DeCA
<b>99-087</b> , Accounting Adjustments to the National Guard and Reserve Equipment Appropriation (NGREA) by the Army National Guard, 2/24/1999	Perform a complete reconciliation of the NGREA and make necessary adjustments and corrections.	Lack of management emphasis.	Army, NGB
<b>99-102</b> , Chemical and Biological Warfare Defense Resources in the U.S. European Command, 3/4/1999	Improve management of equipment and training.	Extended time needed to coordinate and issue policy, and implement information system.	EUCOM, Army, Navy, AF, MC
<b>99-111</b> , Commercial Satellite Leased Capacity, 3/26/1999	Develop policies and procedures to monitor leased commercial satellite communications; and require the Joint Staff to assess mix of DoD-owned and commercially leased satellite capacities in order to predict future capacity.	Extended time needed to coordinate and issue policy.	ASD(C3I), DISA
<b>99-112</b> , Super Computer Usage at the NSA, 3/24/1999	Software will be procured for new accounting system.	Lack of management emphasis and emergent priorities.	NSA

<b>99-119</b> , Controls Over Case-related Material at the Armed Forces Institute of Pathology, 4/2/1999	Improve accountability over case folders.	Alternative management action being implemented and proceeding on schedule.	Army
<b>99-127</b> , Data Supporting the FY 1998 DoD Military Retirement Health Benefits Liability Estimate, 4/7/1999	Develop standardized appointment types to be used by all clinics in the Military Health System.	Extensive time needed for policy and software development.	ASD(HA)
<b>99-137</b> , Selected General and Application Controls for Systems Supporting National Security Agency and Defense Intelligence Agency FY 1998 Financial Statement, 4/21/1999	Improve and update selected general application controls for systems.	Equipment has not been purchased due to funding limitations.	NSA
<b>99-140</b> , Management of DoD Long-Haul Telecommunications Requirements, 4/21/1999	Issue policy requiring a DoD metropolitan and regional telecommunications network strategy; complete a joint strategy for the uniform implementation of metropolitan or regional telecommunications networks.	Extended time needed to coordinate and issue policy.	ASD(C3I)
<b>99-152</b> , Overlapping Inpatient Treatment Expenditures for DoD Beneficiaries Enrolled in Medicare Health Maintenance Organization Plans, 5/13/1999	Develop strategy to eliminate overlapping expenditures in consultation with Office of Management and Budget and Health and Human Services.	Lack of management emphasis.	ASD(HA)
<b>99-159</b> , Interservice Availability of Multiservice Used Items, 5/14/1999	Revise Joint Service Regulation to require consistent item management wherever economical and safe. Task the Services to provide training on disposal authority for multi-service used items and the requirement to communicate excess assets quantities to the primary inventory control activity item manager.	Extended time needed to coordinate policy.	Navy
<b>99-174</b> , Depot-Level Repair of Foreign Military Sales Items, 6/3/1999	The Services are to implement revised USD Comptroller and DFAS guidance on the calculation of the unfunded civilian retirement factor.	Lack of management emphasis.	Army
<b>99-177</b> , Hazardous Material Management for the C/KC-135 Stratotanker Aircraft, 6/4/1999	Include demilitarization and disposal costs in C/KC-135 life cycle costs and annually update Programmatic Environmental, Safety, and Health Evaluation.	Action was delayed while awaiting issuance of DoD Directive. Management is now aggressively pursuing implementation.	AF

<b>99-184</b> , Financial Impacts of Defense Logistics Agency Electronic Catalog and Office Supplies Initiatives on Retail Level Purchasing, 6/11/1999	DLA reviewing cost recovery rates and GSA's execution of the direct vendor rebate program.	Lack of management emphasis.	DLA
<b>99-186</b> , DoD Export Licensing Processes for Dual-Use Commodities and Munitions, 6/18/1999	Implement guidance, training and database initiatives in support of the licensing process for dual-use commodities and munitions.	Lack of management emphasis.	USD(P), DTRA
<b>99-191</b> , Compilation of the FY 1998 Financial Statements for Other Defense Organizations, 6/24/1999	Explain material abnormal balances reported on the financial statements and disclose and explain in the financial statement notes, material abnormal balances included in the normal balances.	Lack of management emphasis.	DFAS
<b>99-192</b> , Depot Maintenance Capacity and Utilization Measurement, 6/23/1999	AF developing capacity instruction manual and revising performance plans for maintenance personnel.	Personnel reduction.	AF
<b>99-198</b> , Defense Logistics Agency Family Housing Requirements, 7/2/1999	Ensure requirements are accurate and properly supported.	Extended time needed to coordinate and issue policy.	DLA, OUSD(AT&L)
<b>99-200</b> , Management Controls Over Defense Logistics Agency System Design Center Conference Funds, 7/2/1999	Follow established federal and DoD guidance when planning and conducting training conferences; review, revise, and reiterate DLA guidance on training conferences.	Delayed in DLA's legal office review.	DLA
<b>99-201</b> , War Reserve and Pre-Positioned Medical Materiel to Support a Dual Major Theater War Contingency Scenario, 7/2/1999	Ensure that quantities, location, and maintenance of medical materiel are adequate.	Extended time needed to coordinate and issue policy.	AF
<b>99-210</b> , Stewardship Reporting in the DoD Agency-Wide Financial Statements for FY 1998, 7/9/1999	In coordination with USD(C), with each Military Department, review historical artifacts database systems in use by the Military Departments to determine the feasibility of centralized databases within each Military Department and of a centralized DoD-wide database.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	USD(AT&L)
<b>99-217</b> , Sole-Source Commercial Spare Parts Procured on a Requirements Type Contract, 7/21/1999	Develop procedures for unified management and procurement of commercial items and price trend analysis.	Initial lack of management emphasis. Improved progress now being achieved.	USD(AT&L)
<b>99-230</b> , Protection of the Wide Area Munition Against Radio Frequency Weapons, 8/20/1999	Conduct tests on the Product Improvement Program communication links and validate Test and Evaluation Master Plan (TEMP).	Extended time required to develop TEMP to incorporate emergent issues and criteria.	Army

<b>99-237</b> , Internal Controls and Compliance with Laws and Regulations for the FY 1998 Financial Statements of the National Reconnaissance Office, 8/23/1999	Increase the accuracy and reliability of financial statement information.	Lack of management emphasis.	NRO
<b>99-239</b> , DoD Unaccompanied Enlisted Personnel Housing Requirements Determination, 8/20/1999	Develop a more suitable form to support barracks projects. Management working to overcome unresolved issues and reach consensus.	Overcoming competing interests/issues.	USD(AT&L), USD(P&R), USD(C), AF
<b>99-250</b> , Construction and Rehabilitation of Reserve Component, 9/13/1999	Improve oversight and management of indoor range construction and rehabilitation process.	Extended time needed to coordinate and issue policy.	Army, ASD(RA), NGB
<b>00-005</b> , Information Assurances for the Joint Total Asset Visibility System, 10/8/1999	Implement improved information assurance controls for the Joint Total Asset Visibility System.	Extended time needed to coordinate and issue policy.	ASD(C3I), DLA
<b>00-010</b> , Administration and Management of the Armed Forces Institute of Pathology, 10/15/1999	Improve administration and management of facility renovations and distinguished scientists program.	Extended time needed to coordinate revised memorandum of understanding with the American Registry of Pathology.	Army
<b>00-016</b> , TRICARE Marketing, 10/21/1999	Development of DoDI for TRICARE marketing that outlines requirements for a comprehensive national TRICARE marketing program.	Extensive time needed for coordination and issuance of policy.	ASD(HA)
<b>00-022</b> , Hazardous Material Management for the Nimitz-Class Nuclear Aircraft Carrier Program, 10/27/1999	Prepare Nimitz-Class Environmental Management Plan that identifies environmental strategy and responsibilities, and demil requirements.	Actions are on schedule.	Navy
<b>D-2000-056</b> , DoD Electronic Mall Implementation Planning, 12/15/1999	Ensure that bank credit card fees are not included in vendor catalog prices.	Lack of management emphasis.	DLA
<b>D-2000-058</b> , Identification and Authentication Policy, 12/20/1999	Update, clarify and standardize policy to define security requirements, especially those pertaining to identification and authentication.	Extended time needed to coordinate and issue policy.	ASD(C3I)
<b>D-2000-065</b> , Costs Charged to Other Transactions, 12/27/1999	Amend guidance to improve controls over "other transactions" and to clarify what audit coverage will apply.	Extensive time is needed to coordinate and issue policy guidance.	USD(AT&L)



<b>D-2000-067</b> , Protection of the Joint Direct Attack Munition Against Radio Frequency Weapons, 12/27/1999	Thoroughly assess the operational risk the radio frequency threats pose to affected systems. This assessment may require testing if the impact of the newly identified threat(s) is unknown. Should the risk associated with these new threats be unacceptable from an operational effectiveness perspective, take appropriate action to mitigate the threat to an acceptable level of risk.	Lack of management emphasis.	AF
<b>D-2000-070</b> , Evolved Expendable Launch Vehicle Program Other Transactions, 12/29/1999	Clarify guidance and documentation to make clear that measures used to protect information are being used to safeguard contractor data and not for national security purposes.	Extensive time is needed to coordinate and issue policy guidance.	AF
<b>D-2000-071</b> , Maintenance and Repair of DoD General and Flag Officer Quarters, 1/27/2000	Investigate potential ADA violations.	Extended time required to conduct a legal/judicial review.	Army
<b>D-2000-073</b> , Protection of the Sensor Fused Weapon Against Radio Frequency Weapons, 2/2/2000	Thoroughly assess the operational risk the radio frequency threats pose to affected systems. This assessment may require testing if the impact of the newly identified threat(s) is unknown. Should the risk associated with these new threats be unacceptable from an operational effectiveness perspective, take appropriate action to mitigate the threat to an acceptable level of risk.	Lack of management emphasis.	AF
<b>D-2000-075</b> , Administration and Management of Civil Air Patrol, 2/15/2000	Improve administration and management of the Civil Air Patrol Program nonexpendable equipment items. Update Regulation 67-2 and Statement of Work to establish roles, responsibilities, policies and procedures.	Normal time needed to coordinate and issue policy.	CAP, AF
<b>D-2000-096</b> , Information Technology General Controls for the Standard Automated Materiel Management System, 3/7/2000	Implement improved security controls and procedures for the Standard Automated Materiel Management System.	Planned actions complete. Additional actions being taken as a security safeguard.	DLA
<b>D-2000-098</b> , Spare Parts and Logistics Support Procured on a Virtual Prime Vendor Contract, 3/8/2000	Recover surge end items and negotiate reasonable prices for reparable; establish strategic alliance with contractor.	Extended time needed to negotiate with contractor.	DLA, AF

<b>D-2000-099</b> , Procurement of the Propeller Blade Heaters for the C-130 and P-3 Aircraft, 3/8/2000	Negotiate fair and reasonable prices and determine technical data rights for future procurements.	Extended time needed to negotiate with contractor.	DLA, AF
<b>D-2000-100</b> , Contracts for Professional, Administrative, and Management Support Services, 3/10/2000	Establish centers of excellence for contracting for services.	Lack of management emphasis.	Army, Navy
<b>D-2000-110</b> , Export Licensing at DoD Research Facilities, 3/24/2000	Improve guidance regarding the determination of the need for "deemed" export licenses in the event of foreign national visits to or assignments to DoD research facilities.	Extensive time is needed to coordinate and issue policy guidance.	USD(P), USD(AT&L), Army, Navy, AF
<b>D-2000-111</b> , Security Clearance Investigative Priorities, 4/5/2000	Process security clearance investigations in accordance with the priorities established by ASD (C3I) memorandum December 26, 2000.	Extended time required to modify an automated system.	ASD(C3I), DSS
<b>D-2000-113</b> , Required Delivery Dates in Requisitions for Secondary Items of Supply Inventory, 4/19/2000	Develop and implement system of automated edit of the required delivery date field in requisitions.	Extended time needed to coordinate policy.	USD AT&L
<b>D-2000-115</b> , Protection of the V-22 Osprey Against Radio Frequency (RF) Weapons, 4/24/2000	Fund and conduct appropriate testing to identify weapon susceptibilities to the RF threat and develop a level of protection commensurate with the level of risk that is deemed acceptable for those weapon systems.	Lack of management emphasis.	Navy
<b>D-2000-127</b> , Program Management of the Materials and Processes Partnership for Pollution Prevention, 5/22/2000	Request construction approval for the facility in Edgefield, South Carolina; review charges for direct costs and contracting officer performance; direct the Concurrent Technologies Corporation contractor to comply with all contracting requirements.	Extended time needed to negotiate with contractor.	Army
<b>D-2000-128</b> , Defense Health Program (DHP) Financial Reporting of General, Property, Plant, and Equipment (PP&E), 5/22/2000	Accumulate and maintain DHP software development costs and accumulate amortization costs. Ensure that software development costs are moved to the TRICARE Management Activity PP&E account for inclusion in Other Defense Organizations' financial statements.	Lack of management emphasis.	ASD(HA)
<b>D-2000-130</b> , Foreign National Access to Automated Information Systems (AIS), 5/26/2000	Update existing guidance to provide adequate procedures for authorizing and controlling access by foreign nationals to information available on AISs and Local area networks.	Extended time needed to coordinate and issue policy.	Army, Navy

<b>D-2000-133</b> , Defense Logistics Agency FY 1999 Property, Plant, and Equipment Financial Reporting, 5/30/2000	DLA will work with DFAS and the Defense Logistics Support Center to reconcile differences between the Defense Property and Accounting System and the Defense Business Management System.	Complex approach for addressing the reporting discrepancies.	DLA
<b>D-2000-134</b> , Tracking Security Clearance Requests, 5/30/2000	The current database will be modified to retain all pertinent historical information (including dates/times for every occurrence -- i.e., deletions, case type, changes, cancellations, duplicates, conversions, reinstatements, etc.) This effort will take time and must be prioritized against other projected improvements to the Case Control Management System.	Extended time/ resources needed to modify an automated system.	DSS
<b>D-2000-135</b> , Protection of the M1A2 Tank 2000 Against Radio Frequency Weapons, 5/31/2000	System testing.	Long term actions are on schedule for FY 03.	Army
<b>D-2000-136</b> , Reporting of Performance Measures in the DoD Agency-Wide Financial Statements, 5/31/2000	Include a discussion of requirements for managerial cost accounting systems capable of supporting performance measurement efforts in future versions of the DoD Financial Management Improvement Plan.	Extensive time is needed to coordinate and issue policy guidance.	USD(C)
<b>D-2000-137</b> , Accounting Entries and Data Processing for the FY 1999 Department of the Navy General Fund Financial Statements, 6/1/2000	Review processes relating to undistributed disbursements, accounts payable, accounts receivable, and other categories to identify the root cause of reporting and accounting issues.	Lack of management emphasis.	DFAS
<b>D-2000-139</b> , Controls Over the Integrated Accounts Payable System, 6/5/2000	Guidance at all levels is to be revised to improve the adequacy of supporting documentation for vendor payments.	Extensive time is needed to coordinate and issue policy guidance.	USD(AT&L), USD(C), DFAS
<b>D-2000-140</b> , Compilation of the FY 1999 Department of the Navy Working Capital Fund Financial Statements, 6/7/2000	DFAS has initiated the Business Management Redesign to better integrate financial and business management data. DFAS is also working with the Navy to reconcile inventory-related general ledger account balances to supporting records. The USD Comptroller is to address the classification and policy for inventory and related assets in the FMR, Volume 11B.	Extensive time is needed to coordinate and issue policy guidance.	USD(C), DFAS

<b>D-2000-148</b> , Configuration Status Accounting for National Security Agency Mission Critical Automated Information Systems, 6/13/2000	Classified.	Extended time needed to issue a coordinated policy.	NSA
<b>D-2000-149</b> , Use of Open Systems Approach for Weapon Systems, 6/15/2000	Updated the DoD 5000 series guidance. Also issue a guide book on open systems.	Open Systems Guide is considered a "living document" and therefore was allotted extra time for feedback before issuing a final version.	USD(AT&L)
<b>D-2000-151</b> , Acquisition of the Defense Joint Accounting System (DJAS), 6/16/2000	The ASD(C3I) agreed not to approve DJAS for use until the Defense Finance and Accounting Service has demonstrated that the current acquisition strategy will reduce risks, ensure the required functionality for users, and meet DoD acquisition standards and Clinger-Cohen Act requirements.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	ASD(C3I), DFAS
<b>D-2000-152</b> , Protection of the Global Positioning System Against Radio Frequency Weapons, 6/19/2000	Classified.	Lack of management emphasis.	AF, Army
<b>D-2000-153</b> , Compilation of the FY 1999 Financial Statements for Other Defense Organizations (ODO) - General Funds, 6/23/2000	DFAS is implementing procedures to remove duplicate and abnormal balances. Any remaining abnormal balances are to be accompanied by footnotes that fully disclose the causes for these balances. DFAS is documenting the processes used to compile the ODO financial statements.	Lack of management emphasis.	DFAS
<b>D-2000-155</b> , Recovery of Commissary and Nonappropriated Fund Real Property Investments at Defense Base Realignment and Closure Installations, 6/23/2000	Army is to deposit funds into Treasury Reserve Account 97X5195.1.	Lack of management emphasis.	Army

<b>D-2000-156</b> , DoD Payroll Withholding Data, 6/29/2000	Personnel and financial management communities are deploying new systems that will incorporate new performance measures and internal controls to ensure accurate and timely submission of actions and records of such transactions. New reconciliation methods have been put in place, including publishing and adhering to a strict timeline for executing reconciliations. Also, controls are being implemented that will ensure correct documents and authorizations are forwarded to DFAS. DFAS has taken action to communicate processing responsibilities, and will further define extraction programs and have the requirements in place for future audits.	Long term action on schedule.	Army, Navy, AF, DFAS
<b>D-2000-158</b> , General Property, Plant, and Equipment Databases Supporting the National Security Agency, 6/29/2000	Classified.	Lack of management emphasis.	NSA
<b>D-2000-166</b> , Compilation of the FY 1999 Financial Statements for Air Force and Other Defense Organizations Working Capital Funds, 7/21/2000	New systems to be implemented will comply with the US Standard General Ledger and eliminate the need for entries to balance report collections and expenditures and for making prior payment adjustments.	Slow system development process.	DFAS
<b>D-2000-170</b> , Disposal of Range Residue, 8/4/2000	Improve policies and procedures to dispose of expended munitions and prevent public access to live ammunition and other dangerous articles.	Extended time needed to negotiate with contractor.	USD(AT&L)
<b>D-2000-177</b> , Revaluation of Inventory for the FY 1999 Department of the Navy Working Capital Fund Financial Statements., 8/18/2000	USD(C) has proposed to concentrate on evaluating policy and systems changes that would be required to implement and support a latest acquisition cost valuation method and a direct cost historical valuation method. These would be long-term solutions for improving the financial presentation of net inventory.	Long term corrective action on schedule.	USD(C)

<b>D-2000-179</b> , Department Level (D-L) Accounting Entries for FY1999, 8/18/2000	A draft strategy requires all categories of accounting entry vouchers to be certified by approving officials. As system improvements are implemented, more detailed data and better audit trails will become available, and the process will have fewer D-L accounting entries. For FY 00, the Defense Department Reporting System is expected to capture transaction information in such a manner that DFAS should be able to measure the progress in eliminating any unsupported entries, as well as minimizing other D-L accounting entries.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	USD(C)
<b>D-2000-181</b> , Army FY 1999 Financial Reporting of Conventional Ammunition, 9/1/2000	Expand the financial statement footnotes to include an explanation on usage restriction to its ammunition; and disclose total tonnage of munitions, to include chemical stocks awaiting destruction.	Due to the events of September 11, 2001, OMB waived the requirement for the Army to submit FY 2001 financial statements. The disclosures will be included in the FY 2002 financial statements.	Army
<b>D-2000-188</b> , Contract Management for the National Defense Center for Environmental Excellence, 9/14/2000	Require contracting officer to comply with all contracting regulations; require the Concurrent Technologies Corporation contractor to perform a lease analysis of the requirements for the Largo, Florida, facility.	Extended time needed to negotiate with contractor.	Army
<b>D-2000-193</b> , Administration and Management of the Civil Air Patrol-Phase II, 9/27/2000	Civil Air Patrol is revising regulations, an internal audit plan, and internal policies and procedures to improve administration and management of the Civil Air Patrol.	Normal time needed to coordinate and issue policy.	CAP
<b>D-2000-194</b> , Demographic Data Supporting the DoD Military Retirement Health Benefits Liability Estimate, 9/29/2000	The Actuary will complete a Board-approved estimate of the new trust fund. At that time, it plans to prepare documentation to support the expanded valuation process.	Extended time needed to coordinate policy.	USD(P&R)
<b>D-2001-004</b> , Disposal of Excess Government-owned Property in the Possession of Contractors, 10/13/2000	Establish metrics to reduce amount of Government property in the possession of contractors. Also, policy initiatives including change to FAR Part 45 and DoD Regulation 5000 are in process.	Actions are on schedule.	USD(AT&L)

<b>D-2001-007</b> , Foreign National Security Controls at DoD Research Laboratories, 10/27/2000	The Navy is to revise SECNAV Instruction 5510.34, Manual for the Disclosure of Department of the Navy Military Information to Foreign Governments and International Organizations, to include requirements for Government facilities being visited by foreign nationals to disseminate foreign disclosure restrictions contained in visit authorization letters to the proposed hosts of the visit.	Extensive time is needed to coordinate and issue policy guidance.	Navy
<b>D-2001-013</b> , DoD Compliance With the Information Assurance Vulnerability Alert Policy, 12/1/2000	Revise and expedite the release of DoD Information Assurance Vulnerability Alert Policy.	Extended time needed to coordinate and issue policy.	ASD(C3I), Army
<b>D-2001-015</b> , Defense Environmental Security Corporate Information Management Program, 12/7/2000	Issue instructions to implement DoD Directive 4715.1; determine DoD corporate environmental reporting requirements and mechanisms.	Long-term management actions on schedule.	USD(AT&L)
<b>D-2001-016</b> , Security Controls Over Contractor Support for Year 2000 Renovation, 12/12/2000	The Services, DISA, DLA, and DCMA will assess the potential risks to the security baseline requirements for renovated systems for which risk assessments are lacking.	Personnel reductions.	Army, Navy, AF, DISA, WHS
<b>D-2001-017</b> , Unclassified but Sensitive Internet Protocol Router Network Security Policy, 12/20/2000	Expedite the issuance of a DoD directive, instruction, or regulation that will incorporate the interim guidance that clearly defines requirements to include security posture of the Unclassified by Sensitive Internet Protocol Router Network.	Extended time needed to coordinate and issue policy.	ASD(C3I)
<b>D-2001-018</b> , Management and Oversight of the DoD Weather Program, 12/14/2000	Revise and update DoDD 5137.1 to include meteorology and oceanography as part of ASD C3I responsibilities. Services evaluate and update data requirements.	Extended time needed to coordinate and issue policy.	ASD(C3I), Army, Navy, AF

<b>D-2001-021</b> , Government Performance and Results Act Reporting on Defense Working Capital Funds Net Operating Results, 1/10/2001	Changes will be made if the results of the ongoing defense review suggest the performance measure should be retained in the Department's FY 2002 performance plan and report. Those changes are: present net operating results for activity groups that are verifiable to budgetary reports or to accounting records; explain why net operating results presented in the GPRA performance plan may deviate from budgetary or accounting reports, including a discussion of non-recoverable losses if necessary; and provide information on any significant differences between the net operating results used for rate setting and those used for financial reporting.	Normal time needed to coordinate policy.	USD(C), ASD(PA&E)
<b>D-2001-025</b> , Summary Report on DoD Hazardous Waste Disposal Costs, 12/22/2000	Issue uniform policy to components for preparing environmental compliance and recurring costs estimates and include hazardous waste disposal definition consistent with the established measure of merit.	Extended time needed to coordinate and issue policy.	USD(AT&L)
<b>D-2001-027</b> , Navy Management Controls Over General and Flag Officer Quarters (GFOQ) Costs, 12/26/2000	Navy will review the DoD OIG audit backup data for FY 98 & 99 to determine the validity of the GFOQ charges.	Lack of management emphasis.	Navy
<b>D-2001-029</b> , General Controls Over the Electronic Document Access System, 12/27/2000	Revise the Electronic Business/ Electronic Commerce Strategic Plan to address security responsibilities and requirements. Develop and execute the Electronic Document Access system test and evaluation to include all system users, DFAS, and DISA. Incorporate security requirements and review guidelines within the Memorandums of Understanding with Electronic Document Access document providers and users.	Delayed due to resource constraints.	ASD(C3I), DISA



<b>D-2001-030</b> , Oversight of Defense Finance and Accounting Service Corporate Database Development, 12/28/2001	Develop procedures for the family of systems reviews and mission area reviews and include in the update to DoD Regulation 5000.2-R. The annual Financial Management Improvement Plan guidance includes a requirement for DFAS to provide information on crosswalks necessary to translate nonstandard systems data into a format that is compliant with the Defense Corporate Information Infrastructure.	Action placed on hold pending implementation of DoD Financial Management Modernization Program.	USD(C), ASD(C3I)
<b>D-2001-031</b> , DoD Pilot Programs for Shipment of Personal Property - DoD Baseline Cost Methodology, 12/29/2000	Incorporate elements deemed successful of pilot programs for shipment of personal property to provide interim relief to Service members and refine data from reliable systems to further evaluate the efficiency and effectiveness of new programs. Similarly, include successful elements for indirect costs.	Extended time needed to conduct and implement results of pilot program.	TRANSCOM
<b>D-2001-032</b> , Use of Extra Criteria for Major Defense Systems, 1/10/2001	Develop exit criteria for the Multifunctional Information Distribution System-Low Volume Terminal and Virginia class submarine. Also, revise the consolidated Acquisition Reporting System instructions to include a requirement to use exit criteria as an indicator.	Lack of management emphasis.	USD(AT&L)
<b>D-2001-035</b> , Management of Potentially Inactive Items at the Defense, 1/24/2001	Perform study to separate costs of maintaining inactive items from active items. Depending on results, DLA may examine viability of the Defense Inactive Item Program as a cost effective and beneficial program to DoD.	Actions are on schedule.	DLA
<b>D-2001-037</b> , Collection and Reporting of Patient Safety Data Within the Military Health System, 1/29/2001	Execute an FY 2001 interim implementation plan for the collection and reporting of patient safety data.	Implementation was delayed significantly because of delays in funding and problems resulting from the 9/11 attacks.	ASD(HA)
<b>D-2001-040</b> , Bulk Fuel Infrastructure Maintenance Repair, and Environmental Project Review Process: Pacific, 1/30/2001	Air Force Instruction 23-201 will be modified to establish guidance for managing overseas fuel-related maintenance, repair, and environmental projects.	Normal time needed to issue a coordinated policy.	AF

<b>D-2001-043</b> , Management of National Guard Weapons of Mass Destruction Civil Support Teams (WMD-CSTs), 1/31/2001	Conduct a comprehensive review of the roles, responsibilities, and requirements of the WMD-CSTs.	Long-term corrective action is proceeding on schedule.	ASD(RA)
<b>D-2001-044</b> , Accreditation Policies and Information Technology Control at the Enterprise Center Mechanicsburg, 2/9/2001	Update the DISA Instruction 630-230-19, "Automated Data Processing, Information Systems Security Program," July 1996 to establish and implement specific guidance related to the reaccreditations of sites.	Extensive time needed to develop, coordinate and issue policy.	DISA
<b>D-2001-045</b> , Government Performance and Results Act Goals: Tank Miles, 2/7/2001	Improve accuracy of reporting and accountability for shortfall in achieving tank miles. Ongoing actions include strict guidance on approval authority for any future migration of operating tempo dollars into other accounts and proposed business rules for reporting tank mile training. Also, the "Tank Miles" performance in the Secretary of Defense's Annual Report to the President and the Congress is being revised to more clearly explain limitations on its use.	Extensive time needed to develop guidance.	USD(P&R)
<b>D-2001-046</b> , Evaluation Report on Information Assurance at Central Design Activities, 2/7/2001	Update DoD Instruction 5200.40 to explicitly include software development environments as systems that need to be certified and accredited. Establish a program of guidance and oversight to ensure the certification and accreditation of DoD Component and DoD contractor software development environments. Establish a performance measure for designated approving authorities to report the certification and accreditation status of DoD component and DoD contractor software development environments. Update DoD policy to require that the development and maintenance of DoD software include a review of each new and changed line of final source code to deter, detect, and remove any malicious code.	Delayed due to resource constraints.	ASD(C3I)

<b>D-2001-049</b> , Abnormal General Ledger Account Balances for Other Defense Organizations Reported by DFAS Cleveland, 2/13/2001	Research and correct the Treasury Index on the Cash Management Report and associated Cumulative Error Listing. Generate hard copy journal vouchers for future error corrections in the Standard Accounting and Reporting System - Headquarters Claimant Module.	Lack of management emphasis.	DFAS
<b>D-2001-054</b> , Defense Logistics Agency Product Verification Program, 2/21/2001	Using FY 1999 test results, determine whether non-conforming products should be suspended or reevaluated.	Long-term management actions on schedule.	DLA
<b>D-2001-055</b> , General Controls for the Defense Civilian Pay System, 2/21/2001	Conduct a new risk assessment to reflect the current organizational structure and changes to the operating environment. Develop a new system security plan to include current information on responsible organization, information contacts, the system environment and special considerations, and certification and accreditation.	Extended time needed to complete reaccreditation process at all locations.	DFAS
<b>D-2001-059</b> , Armed Service Blood Program Readiness, 2/23/2001	Actions are underway to improve the Defense Blood Standard System (DBSS) to ensure that the system meets all user and mission needs, ensures asset accountability and inventory accuracy. Also actions are underway to ensure consistent deployment and use of DBSS throughout DoD.	Normal time needed to establish policy and implement other changes.	ASD(HA), Army, Navy, AF
<b>D-2001-061</b> , Waivers of Requirement for Contractors to Provide Cost of Pricing Data, 2/28/2001	Require components to monitor the accuracy of coding for contract actions that waive cost or pricing data and report results to the Director, Defense Procurement.	Lack of management attention from many DoD components. USD(AT&L) is pursuing this problem with the components.	USD(AT&L)
<b>D-2001-065</b> , DoD Adjudication of Contract Security Clearance Granted by the Defense Security Service, 2/28/2001	Identify and process additional adjudicative resources for Defense Industrial Security Clearance Office. The DSS academy to address the establishment of continuing education standards to facilitate the certification of professional adjudicators. The new policy on professional certification and continuous training program for all adjudicators will be published in the revised DoD 5200.2-R.	Lack of management emphasis.	DSS, ASD(C3I)

<b>D-2001-069</b> , Pilot Program on Sales of Manufactured Articles and Services of Army Industrial Facilities, 3/1/2001	The Army will conduct a three phase study of their arsenal and ammunition plants as directed in Programmed Budget Decision 407.	Normal time required to conduct a comprehensive study.	USD(C), Army
<b>D-2001-071</b> , Navy Financial Reporting of Government-Owned Material Held by Commercial Shipyard Contracts, 3/2/2001	Revise the DFAR Supplement to include the updated DoD property accountability procedures.	Normal time needed to coordinate policy.	USD(AT&L)
<b>D-2001-072</b> , Industrial Prime Vendor Program at the Naval Aviation Depot North Island, 3/5/2001	Establish a plan for how industry will support bench stock material requirements and determine whether the industrial prime vendor program should continue.	Lack of management emphasis.	DLA
<b>D-2001-074</b> , Cooperative Threat Reduction Program, 3/9/2001	Monitor the use of revenue generated from U.S. funded activities in Russia and the Ukraine. DTRA is to include performance goals for the Cooperative Threat Reduction Directorate in the Annual Report to Congress, as well as to develop and publish goals and quantifiable measures that are consistent from year to year.	Lengthy negotiations with foreign-based entities.	USD(P), DTRA
<b>D-2001-075</b> , Standard Procurement System Use and User Satisfaction, 3/13/2001	Establish a working group to develop performance measures that address Standard Procurement System mission goals and functionality requirements.	The Standard Procurement System has undergone significant changes in scope, management, and functional direction since August 2001.	USD(AT&L)
<b>D-2001-078</b> , Inventory Valuation at the Defense Supply Center Columbus, 3/14/2001	Commander, Defense Supply Center Columbus, develop and implement procedures to accurately compute acquisition costs used to value on-hand inventories based on latest representative purchase prices; establish quality assurance program to ensure accuracy of inventory prices; develop/ implement procedures to identify/ disclose value of on-hand inventory where contractor data do not support acquisition costs and develop/ implement procedures to retain contractor data to support acquisition costs used to value on hand inventories on the financial statements.	Actions are on schedule.	DLA

<b>D-2001-079</b> , Inventory Valuation at the Defense Supply Center Richmond, 3/14/2001	Commander, Defense Supply Center Richmond, develop and implement procedures to accurately compute acquisition costs used to value on-hand inventories based on latest representative purchase prices; establish quality assurance program to ensure accuracy of inventory prices; develop/ implement procedures to identify/ disclose value of on-hand inventory where contractor data do not support acquisition costs and develop/ implement procedures to retain contractor data to support acquisition costs used to value on hand inventories on the financial statements.	Actions are on schedule.	DLA
<b>D-2001-081</b> , Financial Reporting at the Washington Headquarters Services, 3/15/2001	Review all disbursement and accrual transactions, identify which transactions are entered improperly and correct the corresponding entries. Modify the Washington Headquarters Services Allotment Accounting System to correctly post prior period adjustments. Also, develop query interfaces for each general ledger account that can be used to research detailed transactions supporting account balances.	Actions are on schedule.	WHS
<b>D-2001-084</b> , Service Contract at the National Security Agency, 3/22/2001	Classified.	Extended time needed to update database.	NSA
<b>D-2001-085</b> , 2000 DoD Financial Management Improvement Plan, 3/19/2001	Use the new DoD-wide FM enterprise architecture to map financial data flows for FM systems, define interfaces and requirements for the systems, define controls and processes to help ensure data integrity and implement the Financial and Feeder Systems Compliance Process (FMSCP), serve as the blueprint for DoD's FM modernization efforts, and define related departmental internal controls. USD(C) and USD(P&R) will co-chair a working group that will conduct a FM workforce review. Future FM Improvement Plans will reflect the status of compliance with DoD's enterprise architecture and the FMSCP.	Long term action on schedule.	USD(C)

<b>D-2001-086</b> , On Board Jammers for the Integrated Defense Electronic Countermeasures, 3/20/2001	The Navy is having Johns Hopkins University conduct a new analysis of alternatives on the on board jammers.	Johns Hopkins is on schedule and working on the analysis.	Navy
<b>D-2001-088</b> , DoD Involvement in the Review and Revision of the Commerce Control List (CCL) and the U.S. Munitions List, 3/23/2001	Work with Commerce to facilitate periodic interagency reviews of the CCL, including the appropriate treatment for any items currently controlled unilaterally by the US, and whether any of the countries to which controls apply should be removed from the Commerce Country Chart. Provide adequate resources to decrease processing times for review of commodity jurisdiction requests and commodity classification requests.	Awaiting action by the Department of Commerce on a request to update the CCL and the Commerce Country Chart.	USD(P)

Acronyms:

AF	Air Force
ASD(C3I)	Assistant Secretary of Defense (Command, Control, Communications and Intelligence)
ASD(HA)	Assistant Secretary of Defense (Health Affairs)
ASD(RA)	Assistant Secretary of Defense (Reserve Affairs)
CAP	Civil Air Patrol
DeCA	Defense Commissary Agency
DFAS	Defense Finance and Accounting Service
DISA	Defense Information Systems Agency
DLA	Defense Logistics Agency
DSS	Defense Security Service
DTRA	Defense Threat Reduction Agency
EUCOM	European Command
JS	Joint Staff
MC	Marine Corps
MTMC	Military Traffic Management Command
NIMA	National Imagery and Mapping Agency
NGB	National Guard Bureau
NRO	National Reconnaissance Office
NSA	National Security Agency
PA&E	Program Analysis & Evaluation
TRANSCOM	Transportation Command
USD(C)	Under Secretary of Defense (Comptroller)
USD(AT&L)	Under Secretary of Defense for Acquisition, Technology and Logistics
USD(P)	Under Secretary of Defense for Policy
USD(P&R)	Under Secretary of Defense for Personnel and Readiness
USFK	United States Forces - Korea
WHS	Washington Headquarters Service